

INFORMATION NOTICE GDPR

The following information notice applies to the relationship you have established with Ostrum Asset Management, hereinafter referred to as "**Ostrum AM**."

Based on information requested through our agents, representatives, or distributors, completed forms, automated data collection systems, or, more generally, information gathering processes, Ostrum AM, as **Data controller**, collects and processes information identifying individuals and which may concern their private and professional lives (for example, name, date of birth, personal or professional contact details, ID, etc.).

This information is hereinafter referred to as "**Personal Data**" or "**Data**." **Data protection** is essential to building a trusting business relationship.

To this end, **Ostrum AM** constantly ensures compliance with legal regulations on the protection of **Personal Data** (the General Data Protection Regulation 2016/679 of April 27, 2016 and national data protection laws) and aims to ensure responsible governance of its computer files as well as the greatest transparency regarding the **Data** processing it carries out.

Ostrum AM has appointed a **Data Protection Officer** or "**DPO**." This DPO ensures that the processing of Personal Data carried out by Ostrum AM complies with applicable regulations.

This information notice is intended for you as a natural person concerned by the data processing carried out by Ostrum AM. It explains why Ostrum AM needs to collect your Data, how your Data is used and protected, how long it is retained, and your rights.

Who is the Data collected from?

Our clients and their potential legal representatives, agents, contact persons, agents, beneficial owners, directors, auditors, or guarantors.

Which Data Ostrum use and where do they come from?

Ostrum AM collecte et utilise les Données strictement nécessaires à ses activités et aux finalités définies dans cette notice d'information.

Catégories of Data used

- Identification data: last name, first name, email address;
- Browsing data: browser type, pages viewed (via cookies), etc.;
- Contact data: telephone number, email address.

Sensitive Data

Ostrum AM does not process Data relating to racial or ethnic origin, political opinions, religious or philosophical beliefs, or trade union membership, genetic, biometric, or health data, data relating to sex life or sexual orientation, or data relating to criminal convictions or sentencing for offenses, unless necessary and required or authorized by applicable

Data Sources

The Data used by Ostrum AM was provided directly by you or obtained indirectly through our professional and institutional clients, our partners, or public sources.

For what purposes and on what basis is your Data collected and used?

Ostrum AM collects and uses Data strictly necessary for its activities and for the purposes defined in this information notice.

- **As part of our legal and regulatory obligations**

Ostrum AM is subject to numerous legal and regulatory obligations and implements the processing of Personal Data necessary to meet these obligations, such as:

Ostrum AM est soumis à de nombreuses obligations légales et réglementaires et met en œuvre des traitements de Données à Caractère personnel nécessaires pour y répondre, telles que :

- Knowledge of client companies;
- Fight against money laundering and terrorist financing;
- Telephone recording of order taking.

- **In the legitimate interest of Ostrum AM to use your Data**

Ostrum AM may invoke its “legitimate interest” in processing your Data, particularly when it is faced with situations which may present risks to its activity, must defend its rights or develop its products or services, including:

- Ensure the security of its information systems;
- Defend its rights;
- Manage its customer and prospect relationships;
- Improve its products and services;
- And finally, for its audit and inspection activities.

- **Within the framework of the purposes subject to your consent**

Ostrum AM intends to submit certain processing of your Data to your consent.

In these cases, you will be asked to specifically consent to the collection and processing of your Data for the purposes explicitly and clearly identified when your consent was obtained.

- **In the context of cookies and other tracers**

Cookies or other trackers are defined as trackers placed and read, for example, when visiting a website, reading an email, or installing or using software or a mobile application.

When you visit one of Ostrum AM's websites, cookies and trackers may be installed on your device (computers, smartphones, digital tablets, etc.).

[Link to the Cookies Policy.](#)

Who has access to your Data?

Ostrum AM takes all necessary measures to guarantee professional secrecy and ensure the security and confidentiality of your collected Data, to ensure that only authorized persons have access to it.

Only authorized persons, due to their activities within the relevant departments of Ostrum AM, responsible for the corresponding processing, have access to your Data within the limits of their authorizations.

Similarly, potential service providers and partners of Ostrum AM may have secure access to all or part of your Data, as part of the performance of their services or partnership.

Certain authorities will also receive your Data, in accordance with applicable laws and regulations.

In the circumstances defined above, Ostrum AM may be required to transfer (communicate or make accessible) your Data to another country within or outside the European Union.

How long is your Data retained?

Once the data processing purposes have been fulfilled, we delete or anonymize your data, subject to legal or regulatory obligations requiring the retention of certain Data.

The retention period varies depending on the nature of the data and the purposes pursued.

What are your rights regarding your Data?

Within the limits and conditions permitted by applicable regulations, you can:

- **Access** all of your Data;
- **Rectify, update, and delete** your Data, subject to legitimate reasons;
- **Object** to the processing of your Data for legitimate reasons and to the processing of your Data for marketing purposes without any reason;
- Request the **portability** of your Data, for processing based on your consent or for the performance of a contract entered into or to be entered into;
- Request a **restriction on the processing** we carry out relating to your Data;
- **Withdraw your consent** at any time (for any processing subject to your consent);
- **File a complaint** with a competent supervisory authority, namely that of the country within the European Economic Area where you habitually reside, your place of work, or the place where the alleged violation of the regulations occurred.

How to exercise your rights?

To exercise your rights, you can contact the **Data Protection Officer** and his **Correspondent at Ostrum AM**, by email or post, indicating your surname, first name, contact details, accompanied where applicable by a legible and valid copy of your identity document.

Data Protection Liaison	
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For newsletter subscriptions, an unsubscribe link also appears on each electronic communication sent.